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# A computational fluid dynamic, experimental and empirical analysis of University of Plymouth's Brunel laboratories shell and tube heat exchanger

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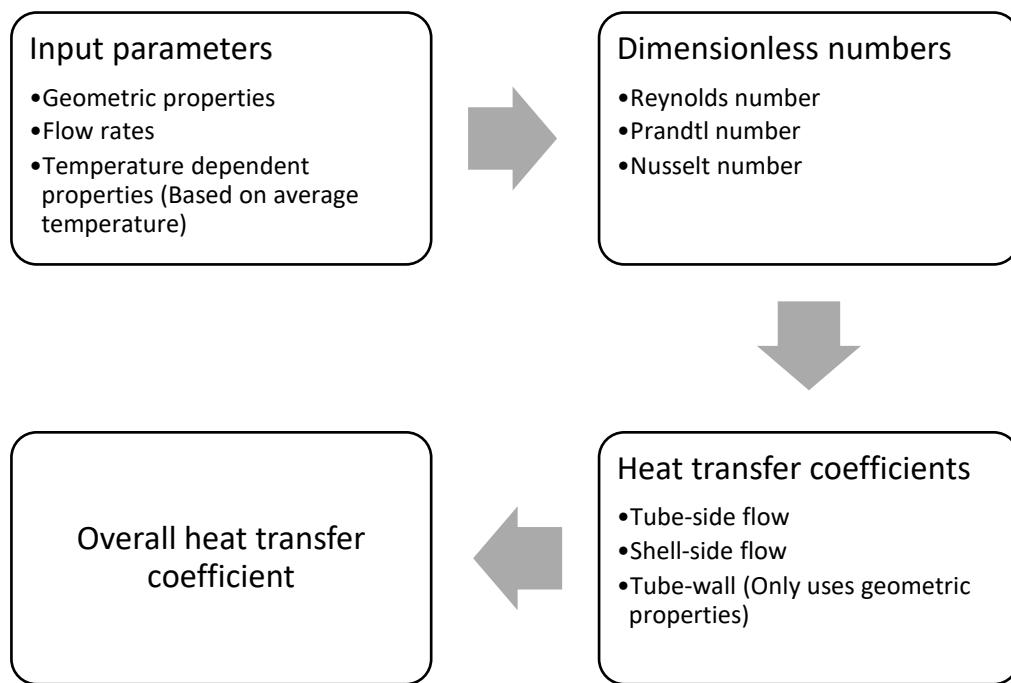
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## Appendix A – Kern method

The following sections present the Kern method calculations used in this paper with Figure 28 outlining the calculation process. This example calculation uses values from the  $0.5\text{Lmin}^{-1}$  case. Appendix D summarises the geometric input values used throughout the calculations. Taborek and Spalding (1983) presented the initial method.

The method is outlined in the following way:

- First table – Definition of new known variables
- Second table – Definition of new unknown variables
- Third table – Calculation process for new unknown variables



**Figure 1:** Process outlining the Kern method

## Temperature dependent variables polynomial functions

As values for the temperature dependent variables were needed for all calculations water property tables were sourced from a wide range of literature. This data was then plotted to allowing curve fit equations to be determined. The equations are presented below where the input temperature have the units of degree Celsius ( $^{\circ}\text{C}$ ).

Density:

$$\rho = -((8.554 \times 10^{-8})T^4) + ((3.055 \times 10^{-5})T^3) - ((6.657 \times 10^{-3})T^2) + ((2.967 \times 10^{-2})T) + 999.88$$

Dynamic viscosity:

$$\mu = ((2.831 \times 10^{-15})T^6) - ((1.224 \times 10^{-12})T^5) + ((2.201 \times 10^{-10})T^4) - ((2.186 \times 10^{-8})T^3) + ((1.368 \times 10^{-6})T^2) - ((5.915 \times 10^{-5})T) + 1.783 \times 10^{-3}$$

Specific heat capacity at constant pressure:

$$C_p = -((3.541 \times 10^{-11})T^5) + ((1.203 \times 10^{-8})T^4) - ((1.559 \times 10^{-6})T^3) + ((1.037 \times 10^{-4})T^2) - ((3.283 \times 10^{-3})T) + 4.217$$

Thermal conductivity:

$$\lambda = ((1.945 \times 10^{-8})T^3) - ((1.098 \times 10^{-5})T^2) + ((2.051 \times 10^{-3})T) + 5.655 \times 10^{-1}$$

Sources of water property data:

Douglas, J. F. (2011) *Fluid mechanics*. 6th edn. Harlow, England: Prentice Hall.

Haywood, R. (1990) *Thermodynamic tables in SI (metric) units*. Cambridge: Cambridge University Press.

Hill, G.B., Pring, E. J. and Osborn P. D. (1990) *Cooling towers: principles and practice*. 3rd edn. England: Butterworth-Heinemann.

Holman, J. P. (2010) *Heat transfer*. 10th edn, international edn. Boston, Mass.: McGraw-Hill.

Kays, W. M. and London, A. L. (1998) *Compact heat exchangers*. 3rd edn. Malabar, Fla.: Krieger Publications.

## Temperature dependent variables

For the shell and tube flow the temperature dependent variables were determined based on average inlet and outlet temperatures from the experimental results. As the calculation does not specify heat exchanger configuration the temperature values were an average of both configurations. Wall temperature was approximated using the average of the shell and tube side temperatures.

Symbol	Description	Unit	Value		
			Tube (t)	Shell (s)	Wall (w)
$T_a$	Average temperature	°C	58.15	21.12	39.63
$\rho_{t,s,w}$	Density	kgm <sup>-3</sup>	984.12	997.81	
$\mu_{t,s,w}$	Dynamic viscosity	kgm <sup>-1</sup> s <sup>-1</sup>	4.807x10 <sup>-4</sup>	9.764x10 <sup>-4</sup>	6.597x10 <sup>-4</sup>
$\lambda_{t,s,w}$	Thermal conductivity	Wm <sup>-1</sup> K <sup>-1</sup>	0.652	0.604	0.631
$C_{p,t,s,w}$	Specific heat at constant pressure	Jkg <sup>-1</sup> K <sup>-1</sup>	4184.22	4181.47	4178.93

## Tube-side heat transfer coefficients

### Tube velocity

Symbol	Description	Unit	Value
$d_{ti}$	Tube inside diameter	m	0.004
$N_t$	Number of tubes	N/A	7
$\dot{V}_t$	Tube volumetric flow rate	m <sup>3</sup> s <sup>-1</sup>	5x10 <sup>-5</sup> (3 Lmin <sup>-1</sup> )
$L_t$	Tube length	m	0.182
Symbol	Description	Unit	
$A_{tt}$	Total tube cross sectional area	m <sup>2</sup>	
$u_t$	Tube velocity	ms <sup>-1</sup>	
Equation	In use	Value	
$A_{tt} = \left(\frac{\pi d_{ti}^2}{4}\right) N_t$	$A_{tt} = \left(\frac{\pi \times 0.004^2}{4}\right) \times 6$	8.796x10 <sup>-5</sup> m <sup>2</sup>	
$u_t = \frac{\dot{V}_t}{A_{tt}}$	$u_t = \frac{5 \times 10^{-5}}{8.796 \times 10^{-4}}$	0.568 ms <sup>-1</sup>	

### Tube-wall heat transfer coefficient

Symbol	Description	Unit	Value
$k_{ss}$	Stainless steel thermal conductivity	Wm <sup>-1</sup> K <sup>-1</sup>	15.5
$d_{to}$	Tube outside diameter	m	0.006
Symbol	Description	Unit	
$h_w$	Tube wall heat transfer coefficient	Wm <sup>-2</sup> K <sup>-1</sup>	

Equation	In use	Value
$h_w = \frac{\left( d_{to} \ln \left( \frac{d_{to}}{d_{ti}} \right) \right)}{2k_{ss}}$	$h_t = \frac{\left( 0.006 \times \ln \left( \frac{0.006}{0.004} \right) \right)}{2 \times 15.5}$	$7.849 \times 10^{-5} \text{ Wm}^{-2}\text{K}^{-1}$

*Tube-fluid heat transfer coefficient*

Symbol	Description	Unit
$Re_t$	Tube Reynolds number	N/A
$Pr_t$	Tube Prandtl number	N/A
$f$	Friction factor	N/A
$Pr_w$	Wall Prandtl number	N/A
$Nu_t$	Tube Nusselt number	N/A
$h_t$	Tube heat transfer coefficient	$\text{Wm}^{-2}\text{K}^{-1}$

Equation	In use	Value
$Re_t = \frac{d_{ti} u_t \rho_t}{\mu_t}$	$Re_t = \frac{0.004 \times 0.568 \times 984.12}{4.807 \times 10^{-4}}$	4655.02
$Pr_t = \frac{\mu_t C_{p\_t}}{\lambda_t}$	$Pr_t = \frac{(4.807 \times 10^{-4}) \times 4184.22}{0.652}$	3.09
$f = ((1.58 \ln(Re_t)) - 3.28)^{-2}$	$f = ((1.58 \times \ln(4655.02)) - 3.28)^{-2}$	$9.873 \times 10^{-3}$
$Pr_w = \frac{\mu_w C_{p\_w}}{\lambda_w}$	$Pr_w = \frac{(6.597 \times 10^{-4}) \times 4178.93}{0.631}$	4.37

Equation – Gnielinski correlation (Ammar and Park, 2020)

$$Nu_t = \frac{\left(\frac{f}{8}\right)(Re_t - 1000)Pr_t}{1 + 12.7 \left(\frac{f}{8}\right)^{1/2} \left(Pr_t^{2/3} - 1\right)} \left(1 + \left(\frac{d_{ti}}{L_T}\right)^{2/3}\right) \left(\frac{Pr_t}{Pr_w}\right)^{0.11}$$

$$Nu_t = \frac{\left(\frac{9.873 \times 10^{-3}}{8}\right)(4655.02 - 1000) \times 3.09}{1 + 12.7 \left(\frac{9.873 \times 10^{-3}}{8}\right)^{1/2} (3.09^{2/3} - 1)} \left(1 + \left(\frac{0.004}{0.182}\right)^{2/3}\right) \left(\frac{3.09}{4.37}\right)^{0.11}$$

Equation	In use	Value
$h_t = \frac{Nu_t \lambda_t}{d_{ti}}$	$h_t = \frac{9.67 \times 0.652}{0.0004}$	$1569.72 \text{ Wm}^{-2}\text{K}^{-1}$

## Shell-side heat transfer coefficients

### Geometric properties

Symbol	Description	Unit	Value
$P_t$	Pitch	m	0.015
$d_{si}$	Shell inside diameter	m	0.05
Symbol	Description	Unit	
$d_e$	Equivalent diameter	m	
$L_b$	Baffle spacing	m	
$L_c$	Tube clearance	m	
$A_s$	Bundle cross flow area	$\text{m}^2$	
Equation	In use	Value	
$d_e = \frac{4\left(\frac{(P_t)^2\sqrt{3}}{4} - \frac{\pi(d_{ti})^2}{8}\right)}{\pi(d_{si}/2)}$	$d_e = \frac{4\left(\frac{(0.015)^2\sqrt{3}}{4} - \frac{\pi(0.006)^2}{8}\right)}{\pi(0.05/2)}$	0.0353 m	
$L_b = \frac{L_t}{N_b + 1}$	$L_b = \frac{0.182}{3 + 1}$	0.0455 m	
$L_c = P_t - d_{to}$	$L_c = 0.015 - 0.006$	0.009 m	
$A_s = \frac{d_{si}L_cL_b}{P_t}$	$A_s = \frac{0.05 \times 0.009 \times 0.046}{0.015}$	0.00137 $\text{m}^2$	

### Shell-side heat transfer coefficient

Symbol	Description	Unit	Value
$\dot{V}_s$	Shell volumetric flow rate	$\text{Lmin}^{-1}$	0.5
Symbol	Description	Unit	
$\dot{m}_s$	Shell mass flow rate	$\text{kgs}^{-1}$	
$Re_s$	Shell Reynolds number	N/A	
$Pr_s$	Shell Prandtl number	N/A	
$Nu_s$	Shell Nusselt number	N/A	
$h_s$	Shell heat transfer coefficient	$\text{Wm}^{-2}\text{K}^{-1}$	
Equation	In use	Value	
$\dot{m}_s = \left(\frac{\dot{V}_s}{60000}\right)\rho_s$	$\dot{m}_s = \left(\frac{0.5}{60000}\right) \times 997.81$	$8.315 \times 10^{-4} \text{ kgs}^{-1}$	
$Re_s = \frac{\dot{m}_s d_e}{\mu_s A_s}$	$Re_s = \frac{8.315 \times 10^{-4} \times 0.0353}{9.764 \times 10^{-4} \times 0.00137}$	220.53	
$Pr_s = \frac{\mu_s C_{p,s}}{\lambda_s}$	$Pr_s = \frac{9.764 \times 10^{-4} \times 4181.47}{0.604}$	6.76	

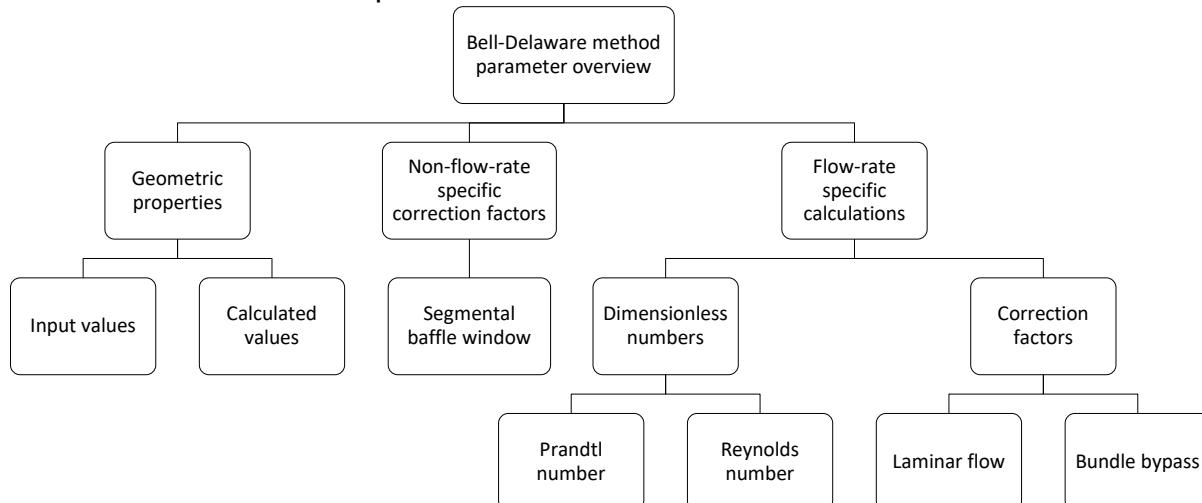
Equation		
$Nu_s = 0.023Re_s^{0.55}Pr_s^{\frac{1}{3}}\left(\frac{\mu_s}{\mu_w}\right)^{0.14}$		
In use	Value	
$Nu_s = 0.023 \times 220.53^{0.55} \times 6.76^{\frac{1}{3}} \cdot \left(\frac{9.764 \times 10^{-4}}{6.597 \times 10^{-4}}\right)^{0.14}$	12.66	
Equation	In use	Value
$h_s = \frac{Nu_s \lambda_s}{d_e}$	$h_t = \frac{6.76 \times 0.604}{0.0353}$	$8.6 \text{ m}^{-2}\text{K}^{-1}$

### Overall heat transfer coefficient calculation

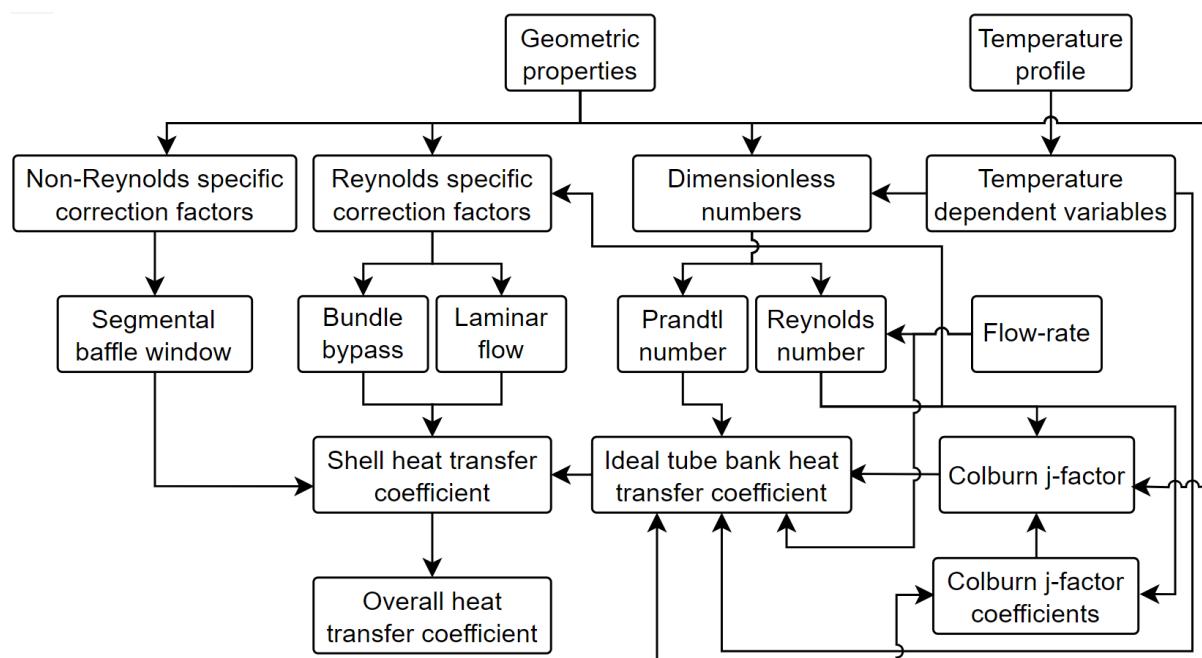
Symbol	Description	Unit
$U_k$	Overall heat transfer coefficient	$\text{Wm}^{-2}\text{K}^{-1}$
Equation		
$U_k = \frac{1}{\frac{1}{h_s} + \frac{d_{to}}{h_t d_{ti}} + h_w}$	$U_k = \frac{1}{\frac{1}{216.43} + \frac{0.006}{7.849 \times 10^{-4} \times 0.004} + 1569.72}$	176.85 $\text{Wm}^{-2}\text{K}^{-1}$

## Appendix B – Bell-Delaware method

Within this section an example calculation of the Bell-Delaware method is carried out. This was applied to the UHX geometry. Initially global parameters are determined and then flow rate specific calculations. For this example, the calculations are for the  $0.5\text{Lmin}^{-1}$  case. Figure 29 presents an overview of the parameters used within the Bell-Delaware method. Here the Reynolds number specific correction factors and non-Reynolds specific correction factors have been outlined. Figure 30 shows the calculation steps to determine the overall heat transfer coefficient and the subsequent variables used to determine it.



**Figure 2:** Parameter overview for the Bell-Delaware method



**Figure 3:** Flowchart to calculate the Bell-Delaware overall heat transfer coefficient

## Geometric properties

### Geometric input values

Symbol	Description	Unit	Value
$d_{to}$	Tube outside diameter	m	0.006
$P_t$	Pitch	m	0.015
$d_{si}$	Shell inside diameter	m	0.05
$L_{bb}$	Shell-to-tube bypass clearance	m	0.014
$L_t$	Tube length	m	0.182
$N_b$	Number of baffles	N/A	3

Symbol	Description	Unit
$d_{ocl}$	Tube bundle circumscribed circle	m
$L_b$	Baffle spacing	m
$L_c$	Tube clearance	m
$A_s$	Bundle cross flow area	$\text{m}^2$

Equation	In use	Value
$d_{ocl} = d_{si} - L_{bb}$	$d_{ocl} = 0.05 - 0.014$	0.036 m
$L_b = \frac{L_t}{N_b + 1}$	$L_b = \frac{0.182}{3 + 1}$	0.0455 m
$L_c = P_t - d_{to}$	$L_c = 0.015 - 0.006$	0.009 m
$A_s = \frac{d_{si} L_c L_b}{P_t}$	$A_s = \frac{0.05 \times 0.009 \times 0.046}{0.015}$	0.00137 $\text{m}^2$

### Cross-flow area calculation

Symbol	Description	Unit	Value
$d_{ctl}$	Circle diameter through centre of tube row	m	0.030

Symbol	Description	Unit
$S_m$	Cross-flow area	$\text{m}^2$

Equation
$S_m = L_B \left( L_{bb} + \frac{d_{ctl}}{P_t} (P_t - d_{to}) \right)$
In use
$S_m = 0.0455 \left( 0.014 + \frac{0.03}{0.015} (0.015 - 0.006) \right) \quad 0.00146 \text{m}^2$

## Temperature dependent variables

These are the same values that were used for the Kern method

Symbol	Description	Unit	Value	
			Shell (s)	Wall (w)
$T_a$	Average temperature	°C	21.12	39.63
$\rho_s$	Density	$\text{kgm}^{-3}$	997.81	
$\mu_{s,w}$	Dynamic viscosity	$\text{kgm}^{-1}\text{s}^{-1}$	$9.764 \times 10^{-4}$	$6.597 \times 10^{-4}$
$\lambda_s$	Thermal conductivity	$\text{Wm}^{-1}\text{K}^{-1}$	0.604	
$C_{p,s}$	Specific heat at constant pressure	$\text{Jkg}^{-1}\text{K}^{-1}$	4181.47	

## Reynolds and Prandtl number calculation

Symbol	Description	Unit	Value
$\dot{V}_s$	Shell volumetric flow rate	$\text{Lmin}^{-1}$	0.5
<hr/>			
Symbol	Description	Unit	
$\dot{m}_s$	Shell mass flow rate	$\text{kgs}^{-1}$	
$Re_s$	Shell Reynolds number	N/A	
$Pr_s$	Shell Prandtl number	N/A	
<hr/>			
Equation	In use	Value	
$\dot{m}_s = \left( \frac{\dot{V}_s}{60000} \right) \rho_s$	$\dot{m}_s = \left( \frac{0.5}{60000} \right) \times 997.81$	$8.315 \times 10^{-4} \text{ kgs}^{-1}$	
$Re_s = \frac{\dot{m}_s d_{to}}{\mu_s S_m}$	$Re_s = \frac{(8.315 \times 10^{-4}) \times 0.06}{(9.764 \times 10^{-4}) \times 0.00146}$	35.09	
$Pr_s = \frac{\mu_s C_{p,s}}{\lambda_s}$	$Pr_s = \frac{(9.764 \times 10^{-4}) \times 4181.47}{0.604}$	6.76	
<hr/>			

## Correction factors determination

### Segmental baffle window correction factor

Symbol	Description	Unit	Value
$L_{bh}$	Baffle height	m	0.029
$B_{c\%}$	Baffle cut percentage	%	
$\theta_{ctl}$	Baffle cut intersection with tube centres	°(deg)	
$F_w$	Fraction of tubes in one baffle window	N/A	
$F_c$	Fraction of tubes in pure cross flow	N/A	
$J_c$	Segmental baffle window correction factor	N/A	
<b>Equation</b>		<b>In use</b>	<b>Value</b>
$B_{c\%} = \left( \frac{d_{si} - L_{bh}}{d_{si}} \right) \times 100$	$B_{c\%} = \left( \frac{0.05 - 0.029}{0.05} \right) \times 100$		42%
$\theta_{ctl} = 2 \cos^{-1} \left( \frac{d_{si}}{d_{ctl}} \left( 1 - 2 \left( \frac{B_{c\%}}{100} \right) \right) \right)$	$\theta_{ctl} = 2 \cos^{-1} \left( \frac{0.05}{0.03} \left( 1 - 2 \left( \frac{42}{100} \right) \right) \right)$		149.07°
$F_w = \frac{\theta_{ctl}}{360} - \frac{\sin(\theta_{ctl})}{2\pi}$	$F_w = \frac{149.07}{360} - \frac{\sin(149.07)}{2\pi}$		0.332
$F_c = 1 - 2F_w$	$F_c = 1 - 2 \times 0.332$		0.335
$J_c = 0.55 + 0.72F_c$	$J_c = 0.55 + 0.72 \times 0.335$		0.792

### Laminar Flow Correction Factor coefficient

This correction factor was only used for the 0.5 and 1Lmin<sup>-1</sup> calculation as the Reynolds numbers were below 100. The equation used for the effective row distance coefficient ( $C_{pp}$ ) is determined from Taborek and Spalding (1983) based on the 30° pitch angle.

Symbol	Description	Unit
$C_{pp}$	Effective row distance coefficient	m
$N_{tcc}$	Number of tube rows crossed between baffle tips	N/A
$N_{tcw}$	Effective number of tube rows crossed	N/A
$N_c$	Number of tube rows crossed	N/A
$J_{rr}$	Laminar correction factor coefficient	N/A
$J_r$	Laminar flow correction factor	N/A
<b>Equation</b>	<b>In use</b>	<b>Value</b>
$C_{pp} = 0.866P_t$	$C_{pp} = 0.866 \times 0.015$	0.013m
$N_{tcc} = \frac{d_{si}}{C_{pp}P_t} \left( 1 - 2 \left( \frac{B_{c\%}}{100} \right) \right)$	$N_{tcc} = \frac{0.05}{0.013 \times 0.015} \left( 1 - 2 \left( \frac{42}{100} \right) \right)$	41.06

Equation		
$N_{tcw} = \frac{0.8}{C_{pp}P_t} \left( d_{si} \left( \frac{B_{c\%}}{100} \right) - \frac{d_{si} - d_{ctl}}{2} \right)$		
In use	Value	
$N_{tcw} = \frac{0.8}{0.013 \times 0.015} \left( 0.05 \left( \frac{42}{100} \right) - \frac{0.05 - 0.03}{2} \right)$	45.16	
Equation	In use	Value
$N_c = \frac{N_{tcc} + N_{tcw}}{N_b + 1}$	$N_c = \frac{0.616 + 0.677}{3 + 1}$	544.88
$J_{rr} = \left( \frac{10}{N_c} \right)^{0.18}$	$J_{rr} = \left( \frac{10}{544.88} \right)^{0.18}$	0.529
$J_r = J_{rr} + \left( \frac{20 - Re_s}{80} \right) (J_{rr} - 1)$	$J_r = 0.529 + \left( \frac{20 - 35.09}{80} \right) (0.529 - 1)$	0.62

### Bundle bypass correction factor

As the value for Reynolds number was less than 100 the value of the bundle bypass correction factor coefficient was set at 1.35 as per the specification in the outlining document. For the 2 and 3Lmin<sup>-1</sup> calculation this value was decreased to 1.25 as the value of the Reynolds number increased above 100. As no sealing strips are used the ratio of sealing strips to number of effective tube rows crossed between baffle tips, r<sub>ss</sub>, is 0.

Symbol	Description	Unit	Value
$N_{ss}$	Number of sealing strips	N/A	0
$C_{bh}$	Bundle bypass correction factor coefficient	m	1.35
Symbol			
Description			
$S_b$	Bypass area within one baffle	$m^2$	
$F_{shp}$	Fraction of bypass area to overall cross flow area	N/A	
$J_b$	Bundle bypass correction factor	N/A	
Equation			
In use			
$r_{ss} = \frac{N_{ss}}{N_{tcc}}$	$r_{ss} = \frac{0}{41.06}$		0
$S_b = L_b(d_{si} - d_{ocl})$	$S_b = 0.0455 \times (0.05 - 0.036)$	$6.37 \times 10^{-4}$	
$F_{shp} = \frac{S_b}{S_m}$	$F_{shp} = \frac{6.37 \times 10^{-4}}{0.036}$		0.438
$J_b = e^{-C_{bh}F_{shp}(1 - \sqrt[3]{2r_{ss}})}$	$J_b = e^{-1.35 \times 0.438 \times (1 - \sqrt[3]{2 \times 0})}$		0.554

## Colburn j-factor calculation

The values for the Colburn j-factor coefficients were determined from tabular data from Taborek and Spalding (1983) relating Reynolds number and pitch angle to the Colburn j-factor coefficients.

Symbol	Description	Unit	Value
$CJ_{a\_1}$	Colburn j-factor coefficient a1	N/A	1.36
$CJ_{a\_2}$	Colburn j-factor coefficient a2	N/A	-0.657
$CJ_{a\_3}$	Colburn j-factor coefficient a3	N/A	1.45
$CJ_{a\_4}$	Colburn j-factor coefficient a4	N/A	0.519

Symbol	Description	Unit
$CJ_a$	Colburn j-factor coefficient a	N/A
$j_i$	Colburn j-factor	N/A

Equation	In use	Value
$CJ_a = \frac{CJ_{a\_3}}{1 + 0.14(Re_s)^{CJ_{a\_4}}}$	$CJ_a = \frac{1.45}{1 + 0.14(35.09)^{0.519}}$	0.768
$j_i = CJ_{a\_1} \left( \frac{1.33}{P_t/d_s} \right)^{CJ_a} (Re_s)^{CJ_{a\_2}}$	$j_i = 1.36 \left( \frac{1.33}{0.015/0.006} \right)^{0.768} (35.09)^{-0.657}$	0.081

## Ideal Tube Bank Based Heat Transfer Coefficient Calculation

Symbol	Description	Unit
$h_i$	Ideal tube bank heat transfer coefficient	N/A

Equation		
$h_i = j_i C_{p\_s} \left( \frac{\dot{m}_s}{A_s} \right) \left( \frac{1}{Pr_s} \right)^{\frac{2}{3}} \left( \frac{\mu_s}{\mu_w} \right)^{0.14}$		
$h_i = 0.081 \times 4181.47 \times \left( \frac{8.315 \times 10^{-4}}{0.00137} \right) \times \left( \frac{1}{6.76} \right)^{\frac{2}{3}} \times \left( \frac{9.764 \times 10^{-4}}{6.597 \times 10^{-4}} \right)^{0.14}$	608.74 Wm <sup>-2</sup> K <sup>-1</sup>	Value Wm <sup>-2</sup> K <sup>-1</sup>

## Overall heat transfer coefficient calculation

Symbol	Description	Unit
$h_s$	Shell heat transfer coefficient	Wm <sup>-2</sup> K <sup>-1</sup>
$U_{bd}$	Bell-Delaware overall heat transfer coefficient	Wm <sup>-2</sup> K <sup>-1</sup>

Equation	In use	Value
$h_s = h_i (J_c J_b J_r)$	$h_s = 608.74 \times (0.792 \times 0.554 \times 0.62)$	164.86 Wm <sup>-2</sup> K <sup>-1</sup>
$U_{bd}$	$h_s$	164.86 Wm <sup>-2</sup> K <sup>-1</sup>

**Table 1:** Parallel-flow outlet temperatures: CFD, UHX

Shell volumetric flow rate ( $\text{Lmin}^{-1}$ )	Outlet temperature (K)			
	Shell		Tube	
	Mean	1 SD	Mean	1 SD
0.5	302.30	0.0067	328.96	0.0095
1.0	297.56	0.0710	327.68	0.0053
2.0	293.14	0.0858	325.97	0.0167
3.0	291.38	0.1068	325.14	0.0026

**Table 2:** Counter-flow outlet temperatures: CFD, UHX

Shell volumetric flow rate ( $\text{Lmin}^{-1}$ )	Outlet temperature (K)			
	Shell		Tube	
	Mean	1 SD	Mean	1 SD
0.5	302.35	0.0375	329.50	0.0086
1.0	297.27	0.0622	327.39	0.0237
2.0	293.41	0.1744	325.79	0.0175
3.0	291.94	0.1453	324.69	0.0170

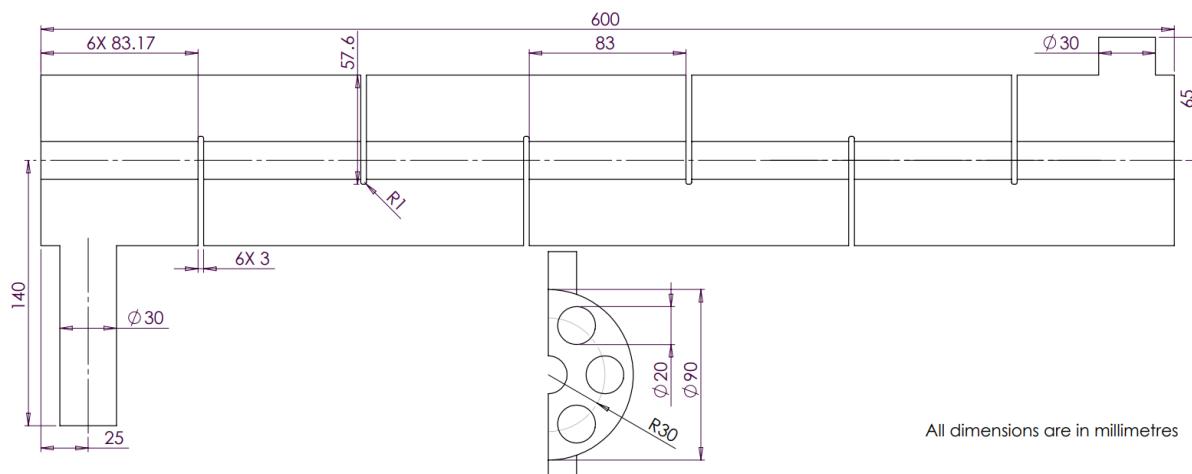
## Appendix C – Validation case analysis

### Geometry

**Table 3:** Validation heat exchanger geometry details

Symbol	Description	Unit	Value
$D_s$	Shell diameter	mm	90
$d_{to}$	Tube outside diameter	mm	20
$P_t$	Pitch	mm	30
$\theta_{tp}$	Tube characteristic layout angle	°	30
$N_t$	Number of tubes	N/A	7
$L_s$	Shell length	mm	600
$B_c$	Baffle cut	%	36
$L_b$	Baffle length	mm	57.6
$L_{bc}$	Centre baffle space	mm	86
$N_b$	Number of baffles	N/A	6
$t_b$	Baffle thickness	mm	3
$D_{i,o}$	Inlet/Outlet diameter <sup>a</sup>	mm	30
$L_{b\_i,o}$	Length from shell edge to inlet/outlet centre	mm	25
$L_i$	Inlet length from shell centre	mm	65
$L_o$	Outlet length from shell centre	mm	140

<sup>a</sup>Approximate determined using outlet velocity and mass flow rate



**Figure 4:** Illustration of the validation geometry

## Mesh settings

**Table 4:** Mesh settings excluding face sizing's

Element size	Unit	Value	Option
Overall mesh parameters			
Element size	mm	1	
Max size	mm	5	
Capture curvature			Yes
Inflations (Shell and tube walls)			
Maximum layers	N/A	10	
Inflation option			Smooth transition
Sweep method – Outlet			
Face mesh type			All Tri
Number of divisions	N/A	53	
Sweep bias type			— — — —
Sweep bias	N/A	50	— — — —
Sweep method – Inlet			
Face mesh type			All Tri
Number of divisions	N/A	10	
Sweep bias type			— — — —
Sweep bias	N/A	25	— — — —

## Mesh sensitivity study

### Mesh settings

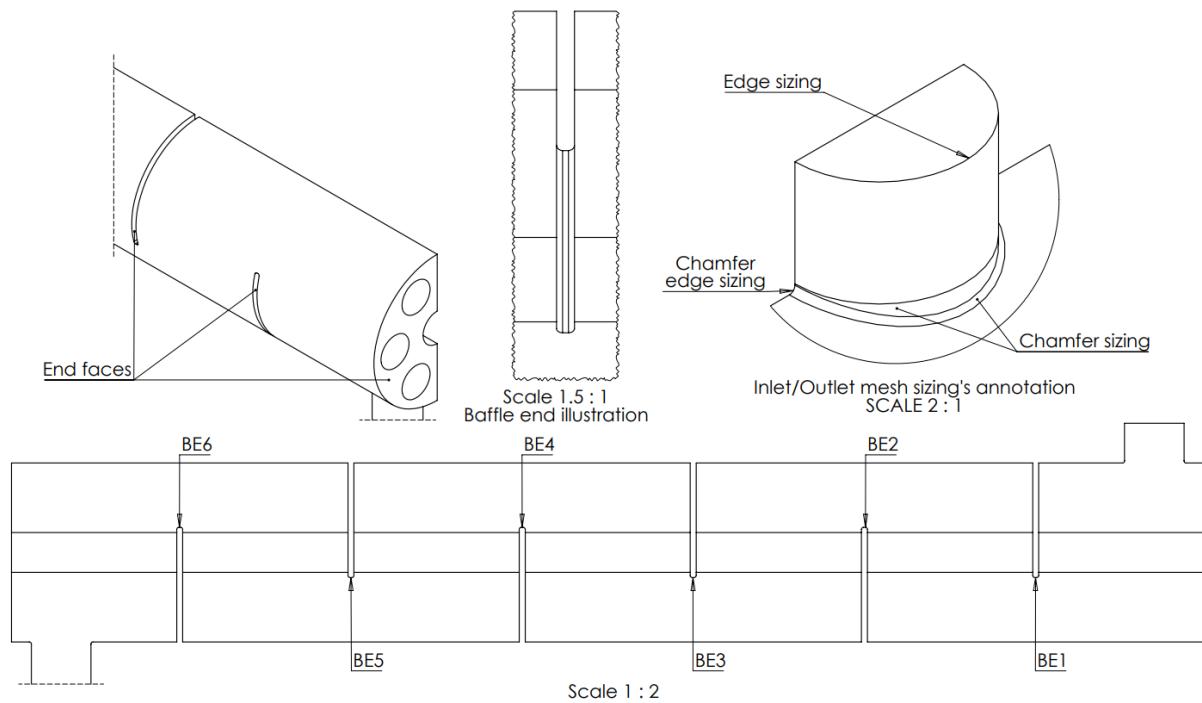
Table 18 and Table 19 and set out the mesh setting used for the mesh sensitivity study with Figure 32 illustrating the mesh sizing's.

**Table 5:** Mesh sensitivity shell face sizing's

Number of nodes (Million)	Face sizing (mm)				
	Tube walls	Shell walls	End faces 4–6	Baffle ends 1–3	Baffle ends 4–6
3.32	0.550	0.600	0.575	0.575	0.550
10.70	0.450	0.525	0.500	0.500	0.500
14.53	0.375	0.475	0.425	0.425	0.400
19.94	0.325	0.425	0.350	0.325	0.300
26.06	0.550	0.600	0.575	0.575	0.550

**Table 6:** Mesh sensitivity study inlet/outlet sizing's

Number of nodes (Million)	Sizing's inlet (mm)			Sizing's outlet (mm)		
	Chamfer face	Edge	Chamfer edge	Chamfer face	Edge	Chamfer edge
3.32	0.40	0.40	0.40	0.35	0.35	0.35
10.70	0.30	0.30	0.30	0.30	0.30	0.30
14.53	0.25	0.25	0.25	0.20	0.20	0.20
19.94	0.20	0.20	0.20	0.10	0.10	0.10
26.06	0.40	0.40	0.40	0.35	0.35	0.35



**Figure 5:** Illustration of the mesh sizing's

### Y+ results

**Table 7:** Y+ results for tube and shell walls

Number of nodes (Million)	Tube walls			Shell walls		
	Avg. Y+	Max Y+	% > 5	Avg. Y+	Max Y+	% > 5
3.32	10.09	26.97	86.51	7.28	27.96	64.65
10.70	6.95	17.18	67.29	5.09	17.51	44.33
14.53	6.19	21.79	60.75	4.55	16.75	36.13
19.94	5.43	16.08	53.85	4.20	13.08	32.77
26.06	4.97	16.04	48.68	3.78	12.82	25.61

## Overall heat transfer coefficient calculation method

**Table 8:** Calculation of overall heat transfer coefficient – Validation case 0.5kgs<sup>-1</sup>

Property	Symbol	Equation	Units	Value
Input parameters				
Shell outlet temperature	$T_{s2}$	Input	K	381.34
Shell mass flow rate	$\dot{m}_s$	Input	kgs <sup>-1</sup>	0.5
Heat transfer area	$A_{ht}$	Input	m <sup>2</sup>	0.26
Specific heat				
Shell inlet temperature	$T_{s1}$	Input	K	300.00
Average temperature	$T_a$	$0.5(T_{s1} + T_{s2})$	K	340.67
Specific heat <sup>a</sup>	$C_p$	Input	Jkg <sup>-1</sup> K <sup>-1</sup>	4188.58
Heat transfer rate				
Shell heat transfer rate	$\dot{Q}$	Equation 2	W	170353.49
Overall heat transfer coefficient				
LMTD <sup>b</sup>	$\Delta T_{ln\_v}$	Equation 7	K	104.08
Overall heat transfer coefficient <sup>c</sup>	$U$	Equation 1	Wm <sup>-2</sup> K <sup>-1</sup>	6294.92

<sup>a</sup>Expression used to relate temperature and specific heat (Appendix D)

<sup>b</sup>Equation uses wall temperature of 450K

**Equation 1**  
(Holman, 2010)

$$U = \frac{\dot{Q}}{A\Delta T_{ln}}$$

Where:

$\dot{Q}$  – Rate of heat transfer (W)

$U$  – Overall heat transfer coefficient (Wm<sup>-2</sup>K<sup>-1</sup>)

$A$  – Heat transfer area (m<sup>2</sup>)

$\Delta T_{ln}$  – Log mean temperature difference (K)

**Equation 2**  
(Rogers, 1992)

$$\dot{Q} = \dot{m}C_p\Delta T$$

Where:

$\dot{Q}$  – Rate of heat transfer (W)

$\dot{m}$  – Mass flow rate (kgs<sup>-1</sup>)

$C_p$  – Specific heat capacity at constant pressure (Jkg<sup>-1</sup>K<sup>-1</sup>)

$\Delta T$  – Temperature difference (K)

**Equation 7**

$$\Delta T_{ln\_val} = \frac{(T_w - T_o) - (T_w - T_i)}{\ln \left( \frac{T_w - T_o}{T_w - T_i} \right)}$$

Where:

$\Delta T_{ln}$  – Log mean temperature difference (K)

$T_w$  – Tube wall temperature (K)

$T_o$  – Shell outlet temperature (K)

$T_i$  – Shell inlet temperature (K)

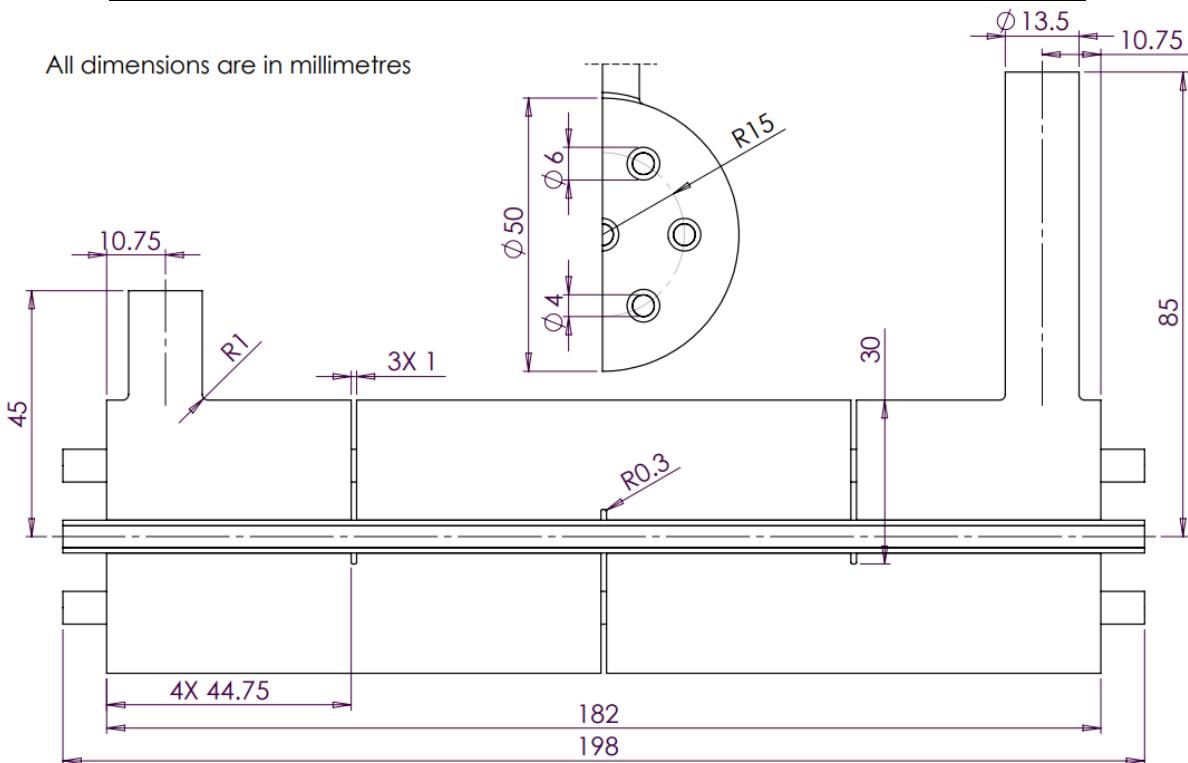
## Appendix D – University heat exchanger analysis

### Geometry

**Table 9:** University heat exchanger geometry details

Symbol	Description	Unit	Value
$D_{si}$	Shell inside diameter	mm	50
$D_{so}$	Shell outside diameter	mm	60
$d_{ti}$	Tube inside diameter	mm	4
$d_{to}$	Tube outside diameter	mm	6
$P_t$	Pitch	mm	15
$\theta_{tp}$	Tube characteristic layout angle	°	30
$N_t$	Number of tubes	N/A	7
$L_s$	Shell length	mm	182
$L_t$	Tube length	mm	198
$L_b$	Baffle length	mm	44.75
$N_b$	Number of baffles	N/A	3
$t_b$	Baffle thickness	mm	1
$D_{i,o}$	Inlet/Outlet diameter <sup>a</sup>	mm	13.5
$L_{b\_i,o}$	Length from shell edge to inlet/outlet centre	mm	10.75
$L_i$	Inlet length from shell centre	mm	45
$L_o$	Outlet length from shell centre	mm	85

All dimensions are in millimetres



**Figure 6:** Illustration of the UHX geometry

## Experimental method

### Parallel-Flow Experiment

1. Set the UHX for parallel flow and set the heat tank temperature to 60°C
2. Set the tube flow rate to 3Lmin<sup>-1</sup>
3. Start-up flow of shell water and using the hand operated flow control valve, set the flow rate to 0.5Lmin<sup>-1</sup>
4. Allow the system to attain a steady state. This may need at least five minutes and you may need to adjust the flow control valve slightly to keep flow rate constant
5. Record tube and shell circuit temperatures
6. Repeat the procedure for the shell flow rates of 1, 2 and 3Lmin<sup>-1</sup>

### Counter-Flow Experiment

1. Reconfigure the heat exchanger for counter flow and repeat the parallel-flow experimental process

## Experimental results

Results from five data sets were analysed with outliers omitted allowing the average temperature profile to be determined (Table 23 and Table 24)

**Table 10:** Parallel-flow experiment results

Cold volumetric flow rate (Lmin <sup>-1</sup> )	Shell temperature (K)		Tube temperature (K)	
	Inlet	Outlet	Inlet	Outlet
0.5	286.15	302.69	332.01	329.81
1.0	286.23	297.07	331.97	329.21
2.0	285.18	292.58	331.72	327.68
3.0	285.45	291.08	331.78	327.08

**Table 11:** Counter-flow experiment results

Cold volumetric flow rate (Lmin <sup>-1</sup> )	Shell temperature (K)		Tube temperature (K)	
	Inlet	Outlet	Inlet	Outlet
0.5	285.30	302.93	332.85	330.45
1.0	285.30	296.63	331.95	328.95
2.0	285.53	292.61	331.75	327.83
3.0	285.93	291.21	331.35	326.87

## CFD input parameters

### Mesh settings

All standard settings are the same as the validation case. A face meshing is being used on the tube ends to promote the isothermal surfaces. The shell volume was meshed using the 14.53 million settings specified above.

**Table 12:** UHX mesh settings excluding face sizing's

Element size	Units	Value	Option
Overall mesh parameters			
Element size	mm	0.5	
Max size	mm	5	
Capture curvature			Yes
Tube inflations			
Maximum layers	N/A	10	
Inflation option			Smooth transition
Mesh type			All quad
Tube volume inflations			
Maximum layers	N/A	10	
Inflation option			Smooth transition
Mesh type			All tri
Edge sizing – Tubes and tube volume			
Element size	mm	0.1	

### Parallel-flow input values

**Table 13:** UHX CFD input: Parallel-flow

Volumetric flow rate (Lmin <sup>-1</sup> )	Tube inlet			Shell inlet	
	Inlet temp. (K)	$\dot{m}$ (kgs <sup>-1</sup> )	Half $\dot{m}^a$ (kgs <sup>-1</sup> )	Inlet temp. (K)	$\dot{m}$ (kgs <sup>-1</sup> )
0.5	332.01	0.00703	0.00352	286.15	0.00416
1.0	331.97	0.00703	0.00352	286.23	0.00832
2.0	331.72	0.00704	0.00352	285.18	0.01665
3.0	331.78	0.00704	0.00352	285.45	0.02497

<sup>a</sup> Half mass flow rate was used for the central tube volume

### F.3.2 Counter-flow

**Table 14:** UHX CFD input: Counter-flow

Volumetric flow rate (Lmin <sup>-1</sup> )	Tube inlet			Shell inlet	
	Inlet temp. (K)	$\dot{m}$ (kgs <sup>-1</sup> )	Half $\dot{m}^a$ (kgs <sup>-1</sup> )	Inlet temp. (K)	$\dot{m}$ (kgs <sup>-1</sup> )
0.5	332.85	0.00703	0.00351	285.30	0.00416
1.0	331.95	0.00703	0.00352	285.30	0.00832
2.0	331.75	0.00703	0.00352	285.53	0.01665
3.0	331.35	0.00704	0.00352	285.93	0.02497

<sup>a</sup> Half mass flow rate was used for the central tube volume

### *Stainless steel parameters*

**Table 15:** Relevant material properties of ANSI304 stainless steel

Density (kgm <sup>-3</sup> )	Molar mass (gmol <sup>-1</sup> )	Specific heat capacity (Jkg <sup>-1</sup> K <sup>-1</sup> )	Thermal conductivity (Wm <sup>-1</sup> K <sup>-1</sup> )
7740	9779.83	480	15.5

### **CFD temperature profile**

**Table 16:** UHX CFD outlet temperatures: Parallel-flow

Shell volumetric flow rate (Lmin <sup>-1</sup> )	Outlet temperature (K)			
	Shell		Tube	
Mean	1 SD	Mean	1 SD	
0.5	302.30	0.0067	328.96	0.0095
1.0	297.56	0.0710	327.68	0.0053
2.0	293.14	0.0858	325.97	0.0167
3.0	291.38	0.1068	325.14	0.0026

**Table 17:** UHX CFD outlet temperatures: Counter-flow

Shell volumetric flow rate (Lmin <sup>-1</sup> )	Outlet temperature (K)			
	Shell		Tube	
Mean	1 SD	Mean	1 SD	
0.5	302.35	0.0375	329.50	0.0086
1.0	297.27	0.0622	327.39	0.0237
2.0	293.41	0.1744	325.79	0.0175
3.0	291.94	0.1453	324.69	0.0170

## Overall heat transfer coefficient calculation

**Table 18:** Calculation of overall heat transfer coefficient – 0.5Lmin<sup>-1</sup> parallel-flow, Experiment

Property	Symbol	Equation	Units	Value
Input parameters				
Tube inlet temperature	$T_{t1}$	Input	K	332.01
Tube outlet temperature	$T_{t2}$	Input	K	329.81
Average tube temperature	$T_{ta}$	$0.5(T_{t1} + T_{t2})$	K	330.91
Shell inlet temperature	$T_{s1}$	Input	K	286.15
Shell outlet temperature	$T_{s2}$	Input	K	302.69
Average shell temperature	$T_{sa}$	$0.5(T_{s1} + T_{s2})$	K	294.42
Heat transfer area	$A_{ht}$	Input	m <sup>2</sup>	0.02
Thermal properties <sup>a</sup>				
Tube specific heat <sup>a</sup>	$\rho_t$	Input	Jkg <sup>-1</sup> K <sup>-1</sup>	984.32
Tube density	$C_{p\_t}$	Input	kgm <sup>-3</sup>	4184.06
Shell specific heat	$\rho_s$	Input	Jkg <sup>-1</sup> K <sup>-1</sup>	997.78
Shell density	$C_{p\_t}$	Input	kgm <sup>-3</sup>	4181.38
Mass flow rates				
Tube volumetric flow rate	$\dot{V}_t$	Input	Lmin <sup>-1</sup>	3.0
Tube mass flow rate	$\dot{m}_t$	$\rho_t(\dot{V}_t/60000)$	kgs <sup>-1</sup>	0.0492
Shell volumetric flow rate	$\dot{V}_s$	Input	Lmin <sup>-1</sup>	0.5
Shell mass flow rate	$\dot{m}_s$	$\rho_s(\dot{V}_s/60000)$	kgs <sup>-1</sup>	0.0083
Heat transfer coefficients				
Tube heat transfer rate	$\dot{Q}_t$	Equation 2	W	453.03
Shell heat transfer rate	$\dot{Q}_s$	Equation 2	W	575.05
Overall heat transfer coefficient				
LMTD	$\Delta T_{ln}$	Equation 4 <sup>b</sup>	K	35.67
Average heat transfer coefficient	$\dot{Q}_a$	$0.5(\dot{Q}_t + \dot{Q}_s)$	W	514.04
Overall heat transfer coefficient <sup>c</sup>	$U$	Equation 1	Wm <sup>-2</sup> K <sup>-1</sup>	720.05

<sup>a</sup>Expression used to calculate the temperature dependent variables (Appendix D)

<sup>b</sup>For counter-flow configuration use Equation 5